

Ainsley Vaculik, Managing Consultant, Froese Forensic Partners

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BIO/SUMMARY

Ainsley Vaculik, BA (Hons), MA, is a Managing Consultant specializing in fraud investigations across multiple sectors and industries. Prior to joining Froese Forensic Partners, Ms. Vaculik was employed as a Senior Investigator with Scotiabank investigating financial fraud and criminal attacks against the bank.

Ms. Vaculik has conducted investigations involving private and public companies, financial institutions, unions and governments. She has been involved in numerous investigations of various types of fraud, whistleblowing allegations, kickback schemes, theft, money-laundering, asset-tracing and enhanced due diligence. Ms. Vaculik has been involved in election compliance audits for various governments and municipalities.

While completing her graduate studies, Ms. Vaculik volunteered with Toronto Police Services in their Victim Services Unit and was a Junior Fellow at Massey College.

PROFESSIONAL EXPERIENCE

Forensic Investigations and Consulting Experience

- Participated in investigating whistleblower complaints involving allegations of malfeasance and fraud within municipalities and private companies;
- Participated in investigating allegations of kickbacks and over-billing by contractors supplying services to a construction company;
- Participated in compliance audits on behalf of various governments and municipalities in relation to 2010 municipal candidates' compliance with the Municipal Elections Act, 1996;
- Investigated the business matters of a condominium corporation with respect to misappropriation of funds and allegations of fraud;
- Involved in investigations relating to misappropriation of funds from estates and management of estates;
- Investigated allegations of altered bank statements and instruments produced in a family law matter;

- Investigated the operations of a local chapter of a North American labour union amidst allegations of misappropriation of funds, kickbacks and other potential violations of union policies and procedures;
- Investigated the allegations of kickbacks and bid-rigging in the awarding of high-value contracts within a municipality;
- Assisted a Judicial Inquiry with the investigation of conflict of interest allegations concerning a member of city council;
- Conducted asset-tracing for multiple fraud investigations;
- Investigated allegations of fraud pertaining to the management of a multi-million dollar investment scheme;
- Investigated the services of an investment advisor who was alleged to have defrauded investors and misappropriated investor funds;
- Conducted multiple enhanced due diligence investigations involving investigative background research; and
- Involved in the execution of Anton Piller Orders.

EXPERT TESTIMONY

- Ontario Superior Court of Justice
- Appearance in relation to tracing and analyzing transactions concerning alleged proceeds of crime.

PRESENT POSITION

- Froese Forensic Partners, Managing Consultant, October 2009

OTHER POSITIONS HELD

- *Bank of Nova Scotia* – Investigator & Senior Investigator, September 2006 – October 2009. Successfully conducted assignments investigating all forms of criminal attacks against Scotiabank. These investigations included but were not limited to internal and external fraudulent activity in the areas of mortgage fraud, lending and credit fraud, bank card fraud, electronic and online fraud, charitable-giving fraud, money laundering, terrorist financing and due diligence. Investigated, managed and organized complex, multi-jurisdictional fraud investigations involving the analysis and correlation of evidence, preparation of evidence briefs and executive reports. Deployed and managed successful surveillance operations. Regularly assessed potential threats and risks to the bank and made recommendations for their mitigation and prevention. Worked closely with industry peers and regulators and garnered numerous domestic and international contacts. Successfully completed training in money-laundering, bank card product compliance, computer/electronic crime and interviewing and interrogation techniques. Delivered numerous crime-awareness and crime-prevention presentations to groups within and outside of the Bank.

- *Scotia Capital* – Summer Intern, June 2002 – August 2005.
Co-managed and balanced multi-million dollar Canadian and US Scotia Capital bank accounts and Scotia Capital mutual fund portfolios, investigating unbalance and erroneous transactions.

EDUCATION

- Masters of Arts – Criminology, University of Toronto, 2006
- Honours Bachelor of Arts – Psychology & Sociology, Queen’s University, 2005
- Courses in Forensic Investigations, Money-Laundering, Leadership, Computer & Electronic Crime and Occupational Health & Safety offered through the Bank of Nova Scotia.
- Courses in Anti Money Laundering & Terrorist Financing in the Banking, Securities and Insurance industries and Bank Card Compliance offered through CSI.
- Courses in Internet Investigative Techniques offered through Toddington International Inc.
- Courses in Interviewing and Interrogation offered through John E. Reid & Associates and ISN Inc.
- Courses in Conducting Internal Investigations offered through the Association of Certified Fraud Examiners (ACFE).

PROFESSIONAL MEMBERSHIPS AND ASSOCIATIONS

- Certified Forensic Investigator, 2011
- International Association of Financial Crimes Investigators, 2009